



20 October 2020

## **NOTICE**

### **RE: Financial Sanctions**

1. The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.
2. **What you must do:**
  - A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
    - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
    - ii. Freeze any such accounts and other funds or economic resources.
    - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
    - iv. Report any findings to the Financial Reporting Authority ("FRA") at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) together with any additional information that would facilitate compliance with the relevant legislative requirements.
    - v. Provide any information concerning the frozen assets of designated persons to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.
  - B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
    - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
    - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
    - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.
    - iv. Send advice to the person that the assets are no longer subject to an asset freeze.

- v. Advise the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) of the actions taken.
3. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

**Further Information.**

4. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.  
[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).
5. Enquiries regarding this sanctions notice should be addressed to  
The Sanctions Coordinator  
Financial Reporting Authority  
P.O. Box 1054  
Grand Cayman KY1-1102  
Cayman Islands  
[FinancialSanctions@gov.ky](mailto:FinancialSanctions@gov.ky)

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**REGIME: Democratic Republic of the Congo**

**INDIVIDUAL**

1. **Names (Last):** Taganda **(1):** Bosco **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a  
**Position:** n/a  
**A.K.A:** (1) Ntaganda Bosco, (2) Ntagenda Bosco, (3) General Taganda  
**Date of Birth:** (1) 00/00/1973, (2) 00/00/1974  
**Place of Birth:** Bigogwe  
**Nationality:** Democratic Republic of the Congo  
**Passport Details:** n/a  
**Address:** The Hague Netherlands (as of June 2016)  
**Other Information** UN Ref: CDi.030. He moved to Nyamitaba, Masisi territory, North Kivu, when he was a child. Nominated FARDC Brigadier-General by Presidential Decree on 11 December 2004, following Ituri peace agreements. Formerly Chief of Staff in CNDP and became CNDP military commander since the arrest of Laurent Nkunda in January 2009. Since January 2009, de facto Deputy Commander of consecutive anti-FDLR operations in North and South Kivu. Entered Rwanda on March 2013, and voluntarily surrendered to ICC officials in Kigali on March 22. Transferred to the ICC in The Hague, Netherlands. On 9 June 2014, ICC confirmed 13 charges of war crimes and five charges of crimes against humanity against him. The trial started in September 2015. On 8 July 2019, the ICC found him guilty of 18 counts of war crimes and crimes against humanity, committed in Ituri in 2002-2003. On 7 November 2019, he was sentenced to a total of 30 years imprisonment. He has appealed both his conviction and sentence. Also referred to as Lydia (when he was part of APR), Terminator, Tango Romeo (call sign), Romeo (call sign) and Major.  
**Listed On:** 02/11/2005  
**Last Updated:** 20/10/2020  
**Group ID:** 8736
2. **Names (Last):** Sheka **(1):** Ntabo **(2):** Ntaberi **(3):** n/a **(4):** n/a **(5):** n/a  
**Title:** n/a

**Position:** Commander-in-Chief, Nduma Defence of Congo, Mayi Mayi Sheka group  
**A.K.A:** n/a  
**Date of Birth:** 04/04/1976  
**Place of Birth:** Walikale, Walikalele Territory  
**Nationality:** Democratic Republic of the Congo  
**Passport Details:** n/a  
**Address:** Goma North Kivu Democratic Republic of the Congo (in prison)  
**Other Information** UN Ref: CDi.029. Surrendered to MONUSCO on 26 July 2017 and has been since detained by the Congolese authorities. His trial for war crimes, crimes against humanity and participation in an insurrectional movement before the Military Court in Goma, started in November 2018.  
**Listed On:** 09/01/2012  
**Last Updated:** 20/10/2020  
**Group ID:** 12438

## ENTITY

- Names (Last):** Uganda Commercial Impex (UCI) Ltd **(1):** n/a **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**A.K.A:** n/a  
**Other Information** UN Ref: CDe.009. Gold export company. Directors are Mr Jamnadas V Lodhia, known as 'Chuni', and his sons Mr Kunal J Lodhia and Jitendra J Lodhia. The directors of UCI have remained involved in purchasing gold from eastern DRC. Uganda Commercial Impex (UCI) Ltd last filed a return in 2013 and was listed as 'Inactive – status inactive' by the authorities of the Republic of Uganda. Telephone: +256 41 533 578/9.  
**Listed On:** 30/03/2007  
**Last Updated:** 20/10/2020  
**Group ID:** 9066
- Names (Last):** Machanga Ltd **(1):** n/a **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**A.K.A:** n/a  
**Other Information** UN Ref: CDe.007. Gold export company. Directors are Mr Rajendra Kumar Vaya and Mr Hirendra M Vaya. The owners of Machanga have remained involved in purchasing gold from eastern DRC. Machanga Ltd last filed an annual return in 2004 and was listed as 'status inactive' according to the authorities of the Republic of Uganda.  
**Listed On:** 30/03/2007  
**Last Updated:** 20/10/2020  
**Group ID:** 9067
- Names (Last):** ADF (Allied Democratic Forces) **(1):** n/a **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**A.K.A:** (1) Forces Democratiques Alliees-Armees Nationale de Liberation de l'Ouganda, (2) ADF/NALU, (3) NALU  
**Other Information** UN Ref: CDe.001. ADF founder and leader, Jamil Mukulu, was arrested in Dar es Salaam, Tanzania, in April 2015. He was extradited to Kampala, Uganda, in July 2015. As of June 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial. Seka Baluku succeeded Jamil Mukulu as the overall leader of the ADF. The ADF, under Seka Baluku's leadership, continued to commit the repeated targeting, killing, maiming, rape and other sexual violence, abduction of civilians, including children, as well as attacks on villages and health facilities, in particular in Mamove, Beni territory, on 12 and 24 February 2019, and Mantumbi, Beni territory, on 5 December 2019

and 30 January 2020, as well as the continuous recruitment and use of children during attacks and for forced labour in Beni territory in the DRC since at least 2015.

**Listed On:** 09/12/2014

**Last Updated:** **20/10/2020**

**Group ID:** 13189